

AUDIT COMMITTEE - ACTION SHEETPresented Wednesday, 21st March 2018

15 th March 2017				
Agenda Item No.	Report	Action Required	Responsible Officer	Action Taken
56	IA Progress Report	To provide an update to audit committee once the police investigation has reached a conclusion	Lisa Brownbill	A verbal update will be provided at audit committee in March 2018.

19 th July 2017				
Agenda Item No.	Report	Action Required	Responsible Officer	Action Taken
20	Treasury Management Annual report 2016/17 and Update Q1 2017/18	To arrange Treasury Management training for all Members for early January 2018	Liz Thomas	All Member Treasury Management training held on 4 th December 2017. There were no major changes made to the Treasury Management Strategy 2018/19 and therefore no additional training required to that provided in December.

22nd November 2017

Agenda Item No.	Report	Action Required	Responsible Officer	Action Taken
34	Use of Consultancy	That the £25K threshold for Chief Executive approval of consultants is reviewed on an annual basis.	Colin Everett / Gareth Owens	This will be reviewed on an annual basis.
34	Use of Consultancy	That future annual reports include whether the list of consultants used for the period were local or national.	Colin Everett / Jo Pierce	This will be included as part of the annual report to committee in November 2018.
36	Joint Protocol between Internal Audit and WAO	Assurance mapping to give assurance of working arrangements between departments and other bodies.	Lisa Brownbill	This will be picked up as part of the assurance mapping exercise to be undertaken.

24th January 2018

Agenda Item No.	Report	Action Required	Responsible Officer	Action Taken
44	Treasury Management	To schedule an additional item on Treasury Management on the Forward Work Programme to give reassurance on the implementation of CIPFA guidance.	Liz Thomas	This has been included within the forward work programme for November 2018.
44	Treasury Management	To provide a response to Cllr Woolley's question on the means of repaying the £1.6m loan (para 1.16 of the report).	Liz Thomas	Response issued to Cllr Wooley on 15 th February 2018

24th January 2018

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44	Treasury Management	To share with the Committee a list of the regulated financial institutions which have approved the classification of the Council's professional status under MiFID II - as part of the Treasury Management Practices and Schedules.	Liz Thomas	Information shared with Committee week commencing 05/03/18
46	Mid-Year Risk Report	That the Risk Management Policy and Strategy be brought to the next meeting to give assurance that this has been fully updated.	Karen Armstrong	Updated report to be presented to Audit March Committee
47	Code of Corporate Governance	To consider how best to present the draft AGS to the new membership of the Audit Committee.	Colin Everett / Gareth Owens / Karen Armstrong	Deliver a presentation to Audit Committee on the AGS, background, purpose and comparison to previous year.
50	IA Progress Report	That the report of the follow-up review of SARTH include details of any delays to ICT changes.	Lisa Brownbill	The Internal Audit Strategic Plan incorporates a follow up of SARTH which will include details of any delays to ICT changes.
52	Forward Work Programme	That the Committee receives information on 'amber red' final reports issued by Internal Audit (as well as red reports currently shared) including that on Pollution Control contained in this report.	Lisa Brownbill	The IA Progress report now includes a summary of those audits issued with 'amber red' assurance.
52	Forward Work Programme	To send the Self-Assessment questionnaires to the Committee for completion, with a copy of last year's responses for information.	Lisa Brownbill	Self-assessment forms sent to members on 25 th January 2018.